

Serial Number: 09/99/181

ENTERED

- ☐ Changed a file from non-ASCII to ASCII.
- ☐ Changed the margins in cases where the sequence text was "wrapped" down to the next line.
- ☐ Edited a format error in the Current Application Data section, specifically: \_\_\_\_\_
- ☐ Edited the Current Application Data section with the actual current number. The number inputted by the applicant was ☐ the prior application data; or ☐ other \_\_\_\_\_
- ☐ Added the mandatory heading and subheadings for "Current Application Data".
- ☐ Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer.
- ☐ Changed the spelling of a mandatory field (the headings or subheadings), specifically: \_\_\_\_\_
- ☐ Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were: \_\_\_\_\_
- ☐ Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: \_\_\_\_\_
- ☐ Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.
- ☐ Inserted colons after headings/subheadings. Headings edited included: \_\_\_\_\_
- ☐ Deleted extra, invalid, headings used by an applicant, specifically: \_\_\_\_\_
- ☐ Deleted: ☐ non-ASCII "garbage" at the beginning/end of files; ☐ secretary initials/filename at end of file; ☐ page numbers throughout text; ☐ other invalid text, such as \_\_\_\_\_
- ☐ Inserted mandatory headings, specifically: \_\_\_\_\_
- ☐ Corrected an obvious error in the response, specifically: \_\_\_\_\_
- ☐ Edited identifiers where upper case is used but lower case is required, or vice versa.
- ☐ Corrected an error in the Number of Sequences field, specifically: \_\_\_\_\_
- ☐ A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.
- ☐ Deleted ending stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (error due to a PatenIn bug). Sequences corrected: \_\_\_\_\_
- ☒ Other: Seq 342 - corrected spelling of Sequence

Examiner: The above corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form.

#2

OIPE

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/991,181

DATE: 12/07/2001  
TIME: 19:34:49

Input Set : A:\PTO.AMC.txt  
Output Set: N:\CRF3\12072001\I991181.raw

PS

3 <110> APPLICANT: Ashkenazi, Avi J.  
4 Baker, Kevin P.  
5 Botstein, David  
6 Desnoyers, Luc  
7 Eaton, Dan L.  
8 Ferrara, Napoleone  
9 Fong, Sherman  
10 Gerber, Hanspeter  
11 Gerritsen, Mary E.  
12 Goddard, Audrey  
13 Godowski, Paul J.  
14 Grimaldi, J. Christopher  
15 Gurney, Austin L.  
16 Kljavin, Ivar J.  
17 Napier, Mary A.  
18 Pan, James  
19 Paoni, Nicholas F.  
20 Roy, Margaret Ann  
21 Stewart, Timothy A.  
22 Tumas, Daniel  
23 Watanabe, Colin K.  
24 Williams, P. Mickey  
25 Wood, William I.  
26 Zhang, Zemin  
28 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic  
29 Acids Encoding the Same  
31 <130> FILE REFERENCE: P2730P1C53  
C--> 33 <140> CURRENT APPLICATION NUMBER: US/09/991,181  
C--> 33 <141> CURRENT FILING DATE: 2001-11-16  
33 <150> PRIOR APPLICATION NUMBER: 60/049787  
34 <151> PRIOR FILING DATE: 1997-06-16  
36 <150> PRIOR APPLICATION NUMBER: 60/062250  
37 <151> PRIOR FILING DATE: 1997-10-17  
39 <150> PRIOR APPLICATION NUMBER: 60/065186  
40 <151> PRIOR FILING DATE: 1997-11-12  
42 <150> PRIOR APPLICATION NUMBER: 60/065311  
43 <151> PRIOR FILING DATE: 1997-11-13  
45 <150> PRIOR APPLICATION NUMBER: 60/066770  
46 <151> PRIOR FILING DATE: 1997-11-24  
48 <150> PRIOR APPLICATION NUMBER: 60/075945  
49 <151> PRIOR FILING DATE: 1998-02-25  
51 <150> PRIOR APPLICATION NUMBER: 60/078910  
52 <151> PRIOR FILING DATE: 1998-03-20  
54 <150> PRIOR APPLICATION NUMBER: 60/083322  
55 <151> PRIOR FILING DATE: 1998-04-28  
57 <150> PRIOR APPLICATION NUMBER: 60/084600  
58 <151> PRIOR FILING DATE: 1998-05-07

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Input Set : A:\PTO.AMC.txt

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61 <151> PRIOR FILING DATE: 1998-05-28  
63 <150> PRIOR APPLICATION NUMBER: 60/087607  
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69 <150> PRIOR APPLICATION NUMBER: 60/087759  
70 <151> PRIOR FILING DATE: 1998-06-02  
72 <150> PRIOR APPLICATION NUMBER: 60/087827  
73 <151> PRIOR FILING DATE: 1998-06-03  
75 <150> PRIOR APPLICATION NUMBER: 60/088021  
76 <151> PRIOR FILING DATE: 1998-06-04  
78 <150> PRIOR APPLICATION NUMBER: 60/088025  
79 <151> PRIOR FILING DATE: 1998-06-04  
81 <150> PRIOR APPLICATION NUMBER: 60/088026  
82 <151> PRIOR FILING DATE: 1998-06-04  
84 <150> PRIOR APPLICATION NUMBER: 60/088028  
85 <151> PRIOR FILING DATE: 1998-06-04  
87 <150> PRIOR APPLICATION NUMBER: 60/088029  
88 <151> PRIOR FILING DATE: 1998-06-04  
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91 <151> PRIOR FILING DATE: 1998-06-04  
93 <150> PRIOR APPLICATION NUMBER: 60/088033  
94 <151> PRIOR FILING DATE: 1998-06-04  
96 <150> PRIOR APPLICATION NUMBER: 60/088326  
97 <151> PRIOR FILING DATE: 1998-06-04  
99 <150> PRIOR APPLICATION NUMBER: 60/088167  
100 <151> PRIOR FILING DATE: 1998-06-05  
102 <150> PRIOR APPLICATION NUMBER: 60/088202  
103 <151> PRIOR FILING DATE: 1998-06-05  
105 <150> PRIOR APPLICATION NUMBER: 60/088212  
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108 <150> PRIOR APPLICATION NUMBER: 60/088217  
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112 <151> PRIOR FILING DATE: 1998-06-09  
114 <150> PRIOR APPLICATION NUMBER: 60/088734  
115 <151> PRIOR FILING DATE: 1998-06-10  
117 <150> PRIOR APPLICATION NUMBER: 60/088738  
118 <151> PRIOR FILING DATE: 1998-06-10  
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129 <150> PRIOR APPLICATION NUMBER: 60/088826  
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132 <150> PRIOR APPLICATION NUMBER: 60/088858

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138 <150> PRIOR APPLICATION NUMBER: 60/088876  
139 <151> PRIOR FILING DATE: 1998-06-11  
141 <150> PRIOR APPLICATION NUMBER: 60/089105  
142 <151> PRIOR FILING DATE: 1998-06-12  
144 <150> PRIOR APPLICATION NUMBER: 60/089440  
145 <151> PRIOR FILING DATE: 1998-06-16  
147 <150> PRIOR APPLICATION NUMBER: 60/089512  
148 <151> PRIOR FILING DATE: 1998-06-16  
150 <150> PRIOR APPLICATION NUMBER: 60/089514  
151 <151> PRIOR FILING DATE: 1998-06-16  
153 <150> PRIOR APPLICATION NUMBER: 60/089532  
154 <151> PRIOR FILING DATE: 1998-06-17  
156 <150> PRIOR APPLICATION NUMBER: 60/089538  
157 <151> PRIOR FILING DATE: 1998-06-17  
159 <150> PRIOR APPLICATION NUMBER: 60/089598  
160 <151> PRIOR FILING DATE: 1998-06-17  
162 <150> PRIOR APPLICATION NUMBER: 60/089599  
163 <151> PRIOR FILING DATE: 1998-06-17  
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172 <151> PRIOR FILING DATE: 1998-06-18  
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175 <151> PRIOR FILING DATE: 1998-06-18  
177 <150> PRIOR APPLICATION NUMBER: 60/089908  
178 <151> PRIOR FILING DATE: 1998-06-18  
180 <150> PRIOR APPLICATION NUMBER: 60/089947  
181 <151> PRIOR FILING DATE: 1998-06-19  
183 <150> PRIOR APPLICATION NUMBER: 60/089948  
184 <151> PRIOR FILING DATE: 1998-06-19  
186 <150> PRIOR APPLICATION NUMBER: 60/089952  
187 <151> PRIOR FILING DATE: 1998-06-19  
189 <150> PRIOR APPLICATION NUMBER: 60/090246  
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192 <150> PRIOR APPLICATION NUMBER: 60/090252  
193 <151> PRIOR FILING DATE: 1998-06-22  
195 <150> PRIOR APPLICATION NUMBER: 60/090254  
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198 <150> PRIOR APPLICATION NUMBER: 60/090349  
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201 <150> PRIOR APPLICATION NUMBER: 60/090355  
202 <151> PRIOR FILING DATE: 1998-06-23  
204 <150> PRIOR APPLICATION NUMBER: 60/090429  
205 <151> PRIOR FILING DATE: 1998-06-24

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210 <150> PRIOR APPLICATION NUMBER: 60/090435  
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214 <151> PRIOR FILING DATE: 1998-06-24  
216 <150> PRIOR APPLICATION NUMBER: 60/090445  
217 <151> PRIOR FILING DATE: 1998-06-24  
219 <150> PRIOR APPLICATION NUMBER: 60/090472  
220 <151> PRIOR FILING DATE: 1998-06-24  
222 <150> PRIOR APPLICATION NUMBER: 60/090535  
223 <151> PRIOR FILING DATE: 1998-06-24  
225 <150> PRIOR APPLICATION NUMBER: 60/090540  
226 <151> PRIOR FILING DATE: 1998-06-24  
228 <150> PRIOR APPLICATION NUMBER: 60/090542  
229 <151> PRIOR FILING DATE: 1998-06-24  
231 <150> PRIOR APPLICATION NUMBER: 60/090557  
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234 <150> PRIOR APPLICATION NUMBER: 60/090676  
235 <151> PRIOR FILING DATE: 1998-06-25  
237 <150> PRIOR APPLICATION NUMBER: 60/090678  
238 <151> PRIOR FILING DATE: 1998-06-25  
240 <150> PRIOR APPLICATION NUMBER: 60/090690  
241 <151> PRIOR FILING DATE: 1998-06-25  
243 <150> PRIOR APPLICATION NUMBER: 60/090694  
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246 <150> PRIOR APPLICATION NUMBER: 60/090695  
247 <151> PRIOR FILING DATE: 1998-06-25  
249 <150> PRIOR APPLICATION NUMBER: 60/090696  
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252 <150> PRIOR APPLICATION NUMBER: 60/090862  
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255 <150> PRIOR APPLICATION NUMBER: 60/090863  
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258 <150> PRIOR APPLICATION NUMBER: 60/091360  
259 <151> PRIOR FILING DATE: 1998-07-01  
261 <150> PRIOR APPLICATION NUMBER: 60/091478  
262 <151> PRIOR FILING DATE: 1998-07-02  
264 <150> PRIOR APPLICATION NUMBER: 60/091544  
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276 <150> PRIOR APPLICATION NUMBER: 60/091978  
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279 <150> PRIOR APPLICATION NUMBER: 60/091982

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280 <151> PRIOR FILING DATE: 1998-07-07  
282 <150> PRIOR APPLICATION NUMBER: 60/092182  
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285 <150> PRIOR APPLICATION NUMBER: 60/092472  
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288 <150> PRIOR APPLICATION NUMBER: 60/091628  
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291 <150> PRIOR APPLICATION NUMBER: 60/091646  
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294 <150> PRIOR APPLICATION NUMBER: 60/091673  
295 <151> PRIOR FILING DATE: 1998-07-02  
297 <150> PRIOR APPLICATION NUMBER: 60/093339  
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301 <151> PRIOR FILING DATE: 1998-07-30  
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306 <150> PRIOR APPLICATION NUMBER: 60/095285  
307 <151> PRIOR FILING DATE: 1998-08-04  
309 <150> PRIOR APPLICATION NUMBER: 60/095302  
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312 <150> PRIOR APPLICATION NUMBER: 60/095318  
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315 <150> PRIOR APPLICATION NUMBER: 60/095321  
316 <151> PRIOR FILING DATE: 1998-08-04  
318 <150> PRIOR APPLICATION NUMBER: 60/095301  
319 <151> PRIOR FILING DATE: 1998-08-04  
321 <150> PRIOR APPLICATION NUMBER: 60/095325  
322 <151> PRIOR FILING DATE: 1998-08-04  
324 <150> PRIOR APPLICATION NUMBER: 60/095916  
325 <151> PRIOR FILING DATE: 1998-08-10  
327 <150> PRIOR APPLICATION NUMBER: 60/095929  
328 <151> PRIOR FILING DATE: 1998-08-10  
330 <150> PRIOR APPLICATION NUMBER: 60/096012  
331 <151> PRIOR FILING DATE: 1998-08-10  
333 <150> PRIOR APPLICATION NUMBER: 60/096143  
334 <151> PRIOR FILING DATE: 1998-08-11  
336 <150> PRIOR APPLICATION NUMBER: 60/096146  
337 <151> PRIOR FILING DATE: 1998-08-11  
339 <150> PRIOR APPLICATION NUMBER: 60/096329  
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345 <150> PRIOR APPLICATION NUMBER: 60/096766  
346 <151> PRIOR FILING DATE: 1998-08-17  
348 <150> PRIOR APPLICATION NUMBER: 60/096768  
349 <151> PRIOR FILING DATE: 1998-08-17  
351 <150> PRIOR APPLICATION NUMBER: 60/096773  
352 <151> PRIOR FILING DATE: 1998-08-17

Use of n and/or Xaa has been detected in the Sequence Listing.  
Review the Sequence Listing to insure a corresponding  
explanation is presented in the <220> to <223> fields of  
each sequence using n or Xaa.

## VERIFICATION SUMMARY

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Input Set : A:\PTO.AMC.txt

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L:33 M:270 C: Current Application Number differs, Replaced Current Application No  
L:33 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:1875 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:24  
L:1893 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:24  
L:2927 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:42  
L:2931 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:42  
L:2933 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:42  
L:3112 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:47  
L:3117 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:47  
L:3122 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:47  
L:4455 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:68  
L:4461 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:68  
L:4740 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:74  
L:4746 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:74  
L:4771 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:75  
L:4773 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:75  
L:4775 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:75  
L:4777 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:75  
L:4781 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:75  
L:4783 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:75  
L:4800 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:76  
L:4831 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:77  
L:4835 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:77  
L:5850 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:105  
L:5854 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:105  
L:5856 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:105  
L:5858 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:105  
L:5866 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:105  
L:5868 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:105  
L:5881 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:106  
L:5883 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:106  
L:5885 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:106  
L:5889 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:106  
L:5893 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:106  
L:5895 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:106  
L:5897 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:106  
L:5914 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:107  
L:5916 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:107  
L:5920 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:107  
L:5922 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:107  
L:5924 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:107  
L:5926 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:107  
L:5939 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:108  
L:5941 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:108  
L:5943 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:108  
L:5955 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:108  
L:5959 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:108  
L:7597 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:136

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L:7599 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:136  
L:7601 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:136  
L:7605 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:136  
L:7823 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:139  
L:7850 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:140  
L:7856 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:140  
L:8139 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:149  
L:8342 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:153  
L:8347 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:153  
L:8352 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:153  
L:10323 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:183  
L:10328 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:183  
L:10333 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:183  
L:10338 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:183  
L:10343 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:183  
L:13416 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:236  
L:13421 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:236  
L:13426 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:236  
L:18864 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:342